

Public Document Pack



Schools Forum

**Monday, 23 January 2017 4.00 p.m.
The Board Room - Municipal Building,
Widnes**



A handwritten signature in black ink, appearing to read "David W R".

Chief Executive

COMMITTEE MEMBERSHIP

**Please contact Ann Jones - Tel: 0151 511 8276 or email:
ann.jones@halton.gov.uk for further information.**

The next meeting of the Committee is on Wednesday, 22 March 2017

**ITEMS TO BE DEALT WITH
IN THE PRESENCE OF THE PRESS AND PUBLIC**

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In accordance with the Health and Safety at Work Act the Council is required to notify those attending meetings of the fire evacuation procedures. A copy has previously been circulated to Members and instructions are located in all rooms within the Civic block.

SCHOOLS FORUM

At a meeting of the Schools Forum on Monday, 10 October 2016 at Civic Suite, Town Hall, Runcorn

Present: J. Rigby (Chair) Secondary Academy Representative
 Councillor T. McInerney, Observer
 A. Brown, Nursery Schools Representative
 A. Jones, Financial Management, HBC
 A. McIntyre, Education, Inclusion & Provision, HBC
 A. Jones, Democratic Services, HBC
 N. Unsworth, Financial Management, HBC
 K. Albiston, PVI Representative
 J. Coughlan, Primary Representative (Infants)
 L. Feakes, School with Nursery Unit Representative
 S. Broxton, Primary Governor Representative
 N. Hunt, Pupil Referral Unit Representative
 J. Vincent, All Through Schools Representative
 L. Davies, Secondary Academy Governor Representative
 S. Ainsworth, Special Schools Representative (Sub)

	<i>Action</i>
SCF10 APOLOGIES FOR ABSENCE	<p>Apologies had been received from Marjorie Constantine, Jane O'Connor, Richard Collings, Karl Landrum and Elaine Haver.</p>
SCF11 MEMBERSHIP UPDATE - ANN MCINTYRE	<p>The Forum was informed that Elaine Haver had joined the Forum as the representative for Special Academies. Mrs Haver was the Principal of Cavendish School which was the only Special Academy provider at present in the Borough.</p> <p>It was noted that due to illness, Marjorie Constantine's representation for Special Schools would be covered by her substitute Sara Ainsworth, from Brookfields Special School.</p> <p>RESOLVED: That the update be noted.</p>
SCF12 MINUTES & MATTERS ARISING	<p>The minutes from the meeting held on 22 June 2016, were agreed as a correct record.</p> <p><u>SCF 7 – School Balances 2015-16</u> – the Forum's query relating to the cost of the playground work at Our Lady</p>

Mother of the Saviour Primary School had been addressed, and the breakdown of expenses relating to this were viewed by the Forum.

SCF13 EARLY YEARS NATIONAL FUNDING FORMULA - ANNE JONES

The Forum received a report and accompanying presentation informing them of the Early Years National Funding Formula proposals for 2017-18.

It was reported that the Early Years National Funding Formula (EYNFF) consultation was announced on 11 August 2016 with a deadline for responses of 22 September 2016. Briefing events were held on 7 September to inform providers of the consultation and of its understanding at that point in time. It was proposed that the new EYNFF be implemented from April 2017.

The report discussed the proposed funding to Local Authorities which would see an increase in funding to 75% of them; however it was noted that Halton was not within this cohort. Information was also provided regarding the proposal to limit the funding retained for central spend; funding to providers; funding for Special Educational Needs and Disabilities (SEND); and funding for two year olds.

Appended to the report was the consultation response submitted on 22 September, following the Schools Forum sub-group meeting the day before this. The Forum discussed and noted the response.

RESOLVED: That the report be noted.

SCF14 SEN REVIEW - ANN MCINTYRE

The Forum received an update on the review of Special Educational Needs. It was noted that at the last meeting of the Forum in June, it was agreed that a review of provision and funding for educational needs and disability would be undertaken in Halton.

Since then two meetings of the review group had taken place where it was agreed that Halton should strive for a well planned range of provision from birth to adulthood that met the needs of children and young people with Special Educational Needs or Disabilities (SEND) and their families, and improved their outcomes. The principle responsibilities of the review group were outlined in the report.

The Forum noted the update and progress made to date and welcomed a further progress report at the next meeting.

RESOLVED: That Schools Forum notes the report.

SCF15 SCHOOLS BLOCK FUNDING FORMULA 2017-18 - ANNE JONES

The Schools Forum received a report from the Financial Management Division advising them of the decisions required for the Schools Block funding formula for the financial year 2017-18.

Officers advised that the implementation of the new National Funding Formula was originally scheduled to commence in April 2017, had now been deferred. It was noted that guidance was issued by the DfE in July 2016 on the Funding Formula for 2017-18, and although there were a few minor changes, it was unlikely that there would be a requirement for Halton to change the funding formula that was currently used.

The Forum noted the funding factors and commentary as presented in the report and agreed the decisions stated below. Further to one member's concerns regarding the allocation of EAL support; it was agreed that Financial Management would carry out some modelling on the use of the EAL Funding Factor. Ann McIntyre would ask the EAL service to provide details of how time was allocated to schools requesting assistance.

RESOLVED: That

- 1) the report be noted;
- 2) one value for Primary, one value for KS3 and one value for KS4 pupils as per the 2016-17 formula, continues to be used;
- 3) a mix of FMS6 and IDACI with differing cash values between the primary and secondary phases continues;
- 4) the Prior Attainment factor for both primary and secondary phases with the old EYFSP framework for Years 3-6 at 73 points or less, continues to be used;
- 5) the Looked After Children factor continues to be used;
- 6) the cash value be determined in January 2017;
- 7) we continue not to use the EAL factor;
- 8) we continue not to use the Pupil Mobility factor;
- 9) we continue not to use the Sparsity factor;

Anne Jones -
Financial
Management

Operational Director -
Education, Inclusion
and Provision

- 10)the Lump Sum factor continues to be used as close to the level for 2016-17 as possible;
- 11)the Split Site factor continues not to be used and retaining the criteria for eligibility and funding as current;
- 12)funding the LA Rates on the latest estimate of the actual cost available be continued;
- 13)the PFI factor at the same cash value per pupil as previously agreed continues to be used;
- 14)the Exceptional Premises factor continues to be used (subject to approval by the EFA);
- 15)Notional SEN be set at 5% of each funding factor, continues to be used;
- 16)De-delegation of items as currently in use is agreed by primary representatives;
- 17)De-delegation of items as currently in use is agreed by secondary representatives;
- 18)a Pupil Growth Contingency budget not be set aside; and
- 19)a Falling Rolls Contingency budget not be set aside.

SCF16 HIGH NEEDS FUNDING PROPOSALS - ANNE JONES

The High Needs Block Funding proposals for 2017-18 were presented to the Forum.

It was reported that the Department for Education issued a Stage One consultation on High Needs Funding in March 2016 with proposals on how allocations to local authorities (LA's) would be calculated from April 2017. The basis of the allocation to each LA was presented in the report.

Members noted that at the time of writing the report it was unknown how this would impact on the funding Halton would receive; however the EFA had now confirmed that no LA would see a reduction on High Needs Funding. It was commented that although this may seem like good news, an increase in demand would reduce funding, if the funding was to remain the same as the previous year.

RESOLVED: That the report be noted.

Meeting ended at 4.50 p.m.

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: Forecast DSG outturn for 2016-17

1.0 PURPOSE OF REPORT

1.1 To report to the School Forum the forecast outturn position of DSG budgets for 2016-17.

2.0 RECOMMENDATION: That the report be noted.

3.0 SUPPORTING INFORMATION

Background

When work commenced on the budget requirements for 2017-18 it became quickly obvious that we would have insufficient grant for our needs. As part of the budget setting process we reviewed the forecast outturn position for the current financial year to give an indication of the carry forward available to us for 2017-18.

Findings

Schools Block – we are forecasting an overspend of £32k based on information to date. The overspend is due to the payments made to schools in financial difficulties totalling more than the Schools Contingency budget which is de-delegated from schools. This overspend will increase if further funding for schools in financial difficulty is agreed.

Early Years Block – we are forecasting an underspend of £150k of which almost £100k relates to Early Years Pupil Premium. However it needs to be noted that in 17/18 the Pupil Premium budget allocation reduces from £191k to £100k.

High Needs Block – there is a forecast overspend of £289k in total for this block. We are forecasting an underspend of £269k on Inclusion staffing costs due to vacancies. However we are anticipating the following overspends:

Inter Authority Expenditure of £155k
Independent School provision of £371k
Enhanced Provision of £85k

Summary

With funds brought forward from previous years we are expecting to have in the region of £1.4m to carry forward into 2017-18 which will be needed to minimise reductions in budgets. In 16/17 we had a carry forward of £1.6m but have had to use £171k in order to balance the budgets in 16/17.

The more detailed outturn report will be presented to Schools Forum in the Summer Term as normal.

4.0 POLICY IMPLICATIONS

4.1 None

5.0 OTHER IMPLICATIONS

5.1 None

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: Schools Block Budgets 2017-18

1.0 PURPOSE OF REPORT

1.1 To report to the School Forum on the final funding formula for Primary and Secondary schools for 2017-18.

2.0 RECOMMENDATION: That

- (1) The report be noted;
- (2) That a decision be reached on the use of the EAL funding factor.

3.0 SUPPORTING INFORMATION

3.1 Background

At the October School Forum decisions were taken on how the funding formula should be allocated to Halton Primary and Secondary maintained schools, academies and free school following a consultation. A question was raised at School Forum about the impact of using the EAL funding factor in place of the Service Level Agreement with St Chad's (see 3.8).

3.2 Budget availability for Primary and Secondary schools

The DSG notional allocation for Schools Block is £83,008,079. We have received permission from the Education Funding Agency for our application to use the Exceptional Premises Factor in relation to the joint use costs of Brookvale Recreation Centre by Ormiston Bolingbroke Academy which the EFA no longer fund.

The lateness of the grant allocation announcement means that we are still finalising the funding factor cash values and these, alongside indicative Schools Block budgets for primary and secondary schools will be tabled at the meeting.

3.3 De-delegated Budgets

Schools can choose to de-delegate budgets to fund Schools Contingency, Staff responsibility costs and Free School Meal eligibility costs. Budgets can only be de-delegated from maintained primary and secondary schools and each phase decided at the October meeting to continue with the same de-delegated budgets. We are setting the Primary de-delegated budgets at:

- £17.01 per pupil for Schools Contingency, the budget will be £169,488
- £1.47 per FSM pupil for FSM6 eligibility, the budget will be £5,569
- £2.18 per pupil for Staff responsibility, the budget will be £21,722

We are setting the Secondary de-delegated budgets at:

- £14.80 per pupil for Schools Contingency, the budget will be £39,990
- £1.47 per FSM6 pupil for FSM eligibility, the budget will be £1,818
- £1.89 per pupil for Staff responsibility, the budget will be £5,107

Below is the comparison to the de-delegated budgets for 2016-17:

	2017-18	2016-17
Schools Contingency	£208,478	£209,722
Staff Responsibility	£26,828	£26,859
Free School Meal Eligibility	£7,387	£7,288

3.4

Income Deprivation Affecting Children Index (IDACI)

The IDACI bandings had been updated by the Government in September 2015 and the new bandings had a significant, detrimental impact on the spread of pupils across the bandings. During 2016 the EFA re-worked the bandings and the new banding ranges give a pupil spread that is more in line with the original bandings. As such, we have had to reduce the cash values for this funding factor to keep within the overall budget total available.

3.5

Minimum Funding Guarantee (MFG)

The MFG protection is continuing at minus 1.5% for 2017-18. This protects schools from reductions in budget of more than 1.5% other than for reductions in pupil numbers. To keep the overall school budgets within the funding available we have had to reduce some funding factors. However, the impact of this reduction on some schools simply moves funding from the funding factor to the MFG and does not decrease the overall total. Therefore, we have adopted a cap on increases other than for increases in pupil numbers to ensure we keep within the overall funding available and minimise the reduction in cash values.

3.6

Finalisation of Schools Block Budgets

The deadline for submission of the APT is Friday 20th January 2017. At the time of writing it is uncertain if we will be in a position to submit a draft formula by this deadline. The EFA contacted us before the Christmas closedown to ask if we would have Schools Forum ratification of the funding formula by the deadline and they were informed this would not be possible.

- 3.7 **Indicative Schools Block budgets**
Indicative Schools Block budgets will be distributed to all Head Teachers on as soon as possible after this meeting, subject to approval being given. Once we have had confirmation from the EFA that our funding formula has been accepted, we will notify all Head Teachers of their confirmed Schools Block Budgets.
- 3.8 **English as an Additional Language**
For a number of years we have used a central DSG budget to fund a service level agreement with St Chad's to provide support to pupils with EAL. During 2016-17 we had a request for additional funding due to an increase in pupil numbers requiring such support and this number is expected to increase further.

Under the new regulations for the National Funding Formula we are unable to retain a central budget to fund this service. We have added the budget (£146,828) to the schools budget. We can devolve this to schools using any funding factor but we feel it should be targeted at schools who have pupils with EAL.

We issued a supplementary consultation on 6th January with a deadline of 18th January asking schools to comment on this. The responses will be collated and tabled at the meeting.

Two sets of indicative schools block budgets will be tabled, the first where the EAL funding is added to the overall school budgets and distributed via the Basic per pupil factor, the second where EAL funding is added to the overall school budgets and distributed using the EAL3 funding factor.

A decision is required on whether the EAL funding factor is used for the 2017-18 budgets.
- 3.9 **Other grants for primary and secondary schools**
We have been given information on a number of other grants as follows:
- Pupil Premium – will continue in 2017-18 at the same rates as 2016-17
 - Universal Infant Free School Meals will continue at a meal rate of £2.30 for the 2017-18 academic year
 - The Year 7 Catch up premium will continue and arrangements will be confirmed in early 2017.
 - The Primary PE and Sports premium will continue in 2017-18 and further details will be announced in the new year.

4.0 **POLICY IMPLICATIONS**

4.1 None

5.0 **OTHER IMPLICATIONS**

5.1 None

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: Schools Block Funding 2017-18 – former Education Services Grant

1.0 PURPOSE OF REPORT

1.1 To report to the School Forum on the transfer of the Education Services Grant duties and costs to Dedicated Schools Grant.

2.0 RECOMMENDATION: That

- (1) The report be noted.
- (2) Agree that services previously funded by the Retained duties ESG are funded by DSG from April 2017
- (3) Agree that services previously funded by the General duties ESG are funded by DSG by way of de-delegation from maintained schools less transitional funding.

3.0 SUPPORTING INFORMATION

In the 2015 Spending Review the DfE announced a saving of £600 million by removing the ESG general funding element from 2017-18. It was recognised that local authorities will need to use other sources of funding to pay for education services once the general funding element has been removed. Regulations are therefore being amended to allow local authorities to retain some of their schools block DSG to cover the statutory duties that they carry out for maintained schools.

Up until April 2017 the Education Services Grant (ESG) was made up of two elements that funded two different groups of services:

- Retained duties element paid to LAs to fund services they provide to all schools, including academies
- General duties element paid to both LAs and academies, to fund services LAs provide to maintained schools which academies must procure for themselves.

In 2016-17 Halton received a total of £1,412,652 of ESG funding made up of £289,950 Retained Duties and £1,117,033 General Duties.

From April 2017 the general duties element is ending and funding previously allocated through the retained duties element (£15.00 per pupil) will be transferred to the Schools Block DSG. As mentioned

in the Indicative Grant report, £288,514 has been added to the Schools Block DSG for the former ESG Retained Duties element.

We have also been notified of an allocation of £400,491 of transitional funding for the former ESG General element. That leaves a funding gap of £723,647.

Therefore, we need to reach agreement on the funding of the two areas of provision. Due to the late release of the funding allocations, final budget figures have not been reached and will be tabled at the meeting. However, below are the responsibilities set out by the DfE which we are proposing to be funded by DSG.

Retained Duties element (£0.29M)

- Director of Children's services (proportion)
- Planning for the education service as a whole (proportion)
- Financial Management (proportion)
- Standing Advisory Committees for Religious Education (proportion)
- Recharges relating to the above in proportion to the amount transferred to DSG

Agreement to the above required from Schools Forum

General Duties element (£1.1M):

- Financial Management (proportion)
- Internal Audit (proportion)
- Investigation and resolution of complaints relating to maintained schools
- Education Welfare (proportion)
- Health and Safety (general duty as an employer)
- Dismissal costs and Premature retirement costs when costs cannot be charged to maintained schools
- Recharges relating to the above in proportion to the amount transferred to DSG

Agreement to the above required from maintained school members of Schools Forum only.

The above responsibilities are only a proportion of those set out by the DfE in the Schools revenue funding 2017 to 2018 operational guide, but are sufficient in terms of cost to meet the 2016-17 level of ESG funding.

4.0 **POLICY IMPLICATIONS**

4.1 None

5.0 **OTHER IMPLICATIONS**

5.1 None

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: School Improvement Funding Update for 2017-18

1.0 PURPOSE OF REPORT

1.1 To update School Forum on the recent government announcement in relation to School Improvement funding.

2.0 RECOMMENDATION: That the report be noted.

3.0 SUPPORTING INFORMATION

Background

The government announced new funding to address underperformance and help ensure every child has a good school place

Information

New resources to ensure the number of good school places continues to rise were announced on 30th November 2016 by the Secretary of State for Education Justine Greening. Less than a week after this announcement new figures showed the number of good or outstanding places had risen by 420,000 in the last year, and she reiterated her determination to continue that upward trend by making new funds available to the school system.

These include:

- From September 2017, a £50 million a year fund for local authorities to continue to monitor and commission school improvement for low-performing maintained schools
- A new £140 million 'Strategic School Improvement Fund' for academies and maintained schools – aimed at ensuring resources are targeted at the schools most in need of support to drive up standards, use their resources more effectively and deliver more good school places
- Alongside this new work, the Education Endowment Foundation (EEF) has committed to spend a further £20 million over the next 2 years to scale up and disseminate evidence-based programmes and approaches

The government's ambition remains that all schools will become academies forming a fully school-led system, where head teachers and school leaders collaborate to drive improvement in their schools. In the meantime, while there continues to be a dual system of maintained schools and academies, it is vital that all schools have the resources they need to tackle underperformance.

This announcement provides further support for local authority schools ahead of the move to the new National Funding Formula. Maintained schools may choose to pool funding from their Dedicated School Grant to buy school improvement services such as bringing in subject or curriculum experts.

Summary

It is important to note that while this announcement is welcome news we do not have any information regarding how these funds will be allocated.

A further announcement was made on 20th December indicating that this grant will receive an allocation of at least £1,800 per maintained school as at September 2017, subject to a total minimum allocation of £50,000 per authority. It is hoped we will receive a minimum of £80,000 from this grant.

4.0 POLICY IMPLICATIONS

4.1 None

5.0 OTHER IMPLICATIONS

5.1 None

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: Apprenticeship Levy

1.0 PURPOSE OF REPORT

1.1 **To report to the School Forum on the new Apprenticeship Levy being introduced from April 2017.**

2.0 **RECOMMENDATION: That the report be noted.**

3.0 SUPPORTING INFORMATION

3.1 Issued by the Department for Education:

From April 2017 the way in which apprenticeships are funded is changing as part of a series of wider reforms to the apprenticeship system in England. Employers with a pay bill of more than £3M will be required to pay an apprenticeship levy and will be able to access funding for apprenticeship training and assessment via a new Digital Apprenticeship Service.

Available funding can be used to meet the cost of apprenticeship training and assessment against an approved apprenticeship framework or standard. This can be for both existing employees as well as new starters. The training provider must be on the Register of Apprenticeship Training Providers. You can find out more about the register here:
<https://www.gov.uk/government/collections/register-of-apprenticeship-training-providers>

This briefing summarises how the apprenticeship levy will typically work for schools. There are a variety of pay bill arrangements in the education sector so there is no single approach. This briefing does not constitute tax advice. Schools should read this alongside the full published guidance on calculating, paying and spending the levy and seek appropriate professional advice on their liability. Detailed guidance on paying the levy will be published by HMRC in December 2016.

Apprenticeships are now the cornerstone of the skills system and provide opportunities for all sectors, including education, and at all levels. The apprenticeship levy aims to boost economic productivity, while increasing the country's skills base and giving millions a step on the ladder of opportunity. Local authorities and schools are encouraged to work together, using the levy to meet skills gaps and plan future workforce needs.

Who pays the levy?

1. Community schools (including voluntary controlled schools)

Local authorities will typically be responsible for paying the levy in community schools they maintain, where the local authority employs the staff and pays National Insurance contributions (NICs). Such staff will be counted as part of the local authority's payroll. We expect the cost of the levy to be passed on to schools in the same way that employer National Insurance and superannuation is. Where the local authority is the employer, schools will have access to funding for apprenticeship training, via the local authority's Digital Apprenticeship Service account. [Where community and VC schools use external payroll providers, their employees are still employees of the LA and these schools are therefore liable to pay the levy. These schools will need to liaise with its payroll provider and the LA on this, to ensure correct payment is made.]

2. Foundation and voluntary aided schools

Foundation and voluntary aided schools typically employ their own staff so they will be responsible for paying the levy. [Each VA school's liability will be based on its own pay bill. HMRC has stated that only VA schools with a pay bill of over £3 million need to be set up on a new HMRC payroll reference number and will need to do so between 6th February and the end of February 2017.]

3. Standalone academies

The Trusts of standalone academies will typically be responsible for paying the levy where they are the employer responsible for paying Class 1 secondary NICs.

4. Academies that are part of multi-academy trusts

Multi-academy trusts (MATs) generally employ the staff in their academies, and will be responsible for paying the levy.

Schools that do not pay the levy

The government will pay 90% of the cost of apprenticeship training and assessment for:

- employers that have a pay bill of less than £3m and
- those that have used all the funds in their digital account.

The employer will be required to pay the remaining 10%.

Apprenticeship roles available

Apprenticeships are available in many job roles within schools, including teaching assistants, business administration, management, science and ICT technicians. Early work on developing a Teacher apprenticeship standard is also underway, led by a group of schools coordinated by the Teaching Schools Council. There is not yet a firm timescale for the introduction of a teaching apprenticeship, but we expect more information to become available in the New Year. In addition a consortium of schools led by Hughenden Primary in Buckinghamshire, are developing a Teaching Assistant apprenticeship standard and assessment criteria. The aim is to have this in place by the end of 2017. You can find more information on apprenticeships available here: [https://www.gov.uk/topic/further-education-](https://www.gov.uk/topic/further-education)

skills/apprenticeships.

Public Sector Duty

- As part of the 3 million starts commitment, we want to expand the number of apprenticeships within the public sector. This will improve the capacity and capability of the public sector, ensuring that it benefits from the same positive impacts as in the rest of the economy and enable more people to achieve their potential.
- As part of this we want to encourage Local authorities and schools to work together, using the levy to meet skills gaps and plan future workforce needs.
- The government has therefore proposed targets for public sector organisations, including publicly funded schools, to increase the number of apprentices they employ. Details of how this will work are being finalised. The government's response to the consultation will be published in due course. Further information can be found at:
<https://www.gov.uk/government/consultations/public-sector-apprenticeship-targets>

3.2 Funding the extra cost of the levy

Liable schools will have to make provision for the relevant cost of the levy in their individual budgets, in the same way as other payroll costs such as NI etc. The DfE does not intend to allow the Schools Budget to be top-sliced by the LA for the levy, and the operational guidance for schools revenue funding in 2017-18 does not make any provision for DSG to be top-sliced in this way. As a result, all liable schools will need to ensure they have taken account of this additional cost when they set their budgets for 2017-18.

3.3 Working Group

Halton has set up a working group to ensure the Council's apprenticeship levy account is managed effectively and will also:

- explore the possibility of converting Intermediate Labour Market placements (on their completion) to the apprenticeship framework where appropriate
- investigate sub-regional joint procurement of training provider(s)
- investigate the possibility of converting existing posts to apprenticeships
- explore the possibility of securing City Region funding (as from April 2017).

4.0 POLICY IMPLICATIONS

4.1 None

5.0 OTHER IMPLICATIONS

5.1 None

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: Indicative DSG allocations for 2017-18

1.0 PURPOSE OF REPORT

- 1.1 To report to the School Forum the indicative Dedicated Schools Grant allocations for 2017-18.

2.0 RECOMMENDATION: That the report be noted.

3.0 SUPPORTING INFORMATION

Indicative funding allocations for 2017-18 were released by the Education Funding Agency on 20th December 2016.

	2017-18	2016-17
Schools Block allocation	£83.01M	£84.71M
Provisional Early Years allocation	£ 9.08M	£5.34M
High Needs Block allocation	£15.79M	£14.50M
Total	£107.87M	£104.54M

The changes to funding within each block are in part due to the revised baselines submitted for 2016-17, where each local authority had to declare how much DSG had been allocated to the three notional funding blocks. Although we were funded at a higher level for Schools Block in previous years, we were funded at much lower levels for the High Needs block and the Early Years Block.

The Early Years block has seen a further increase in funding in preparation for the 30 hours free entitlement that some parents/guardians will be entitled to from September 2017.

3.1 Schools Block

The number of pupils in Primary and Secondary schools has again risen, from 17,416 in 2015-16 to 17,669 – an increase of 253 pupils.

The Schools Block Unit of Funding (SBUF) per pupil is £4,697.95 which is a reduction of £165.82 per pupil from the 2016-17 level of £4,863.77.

Within the Schools Block allocation of £83.01M is £290k of former Education Services Grant funding. This is equates to approximately £16.41 per pupil.

Therefore, without the additional funding for former ESG, our SBUF would be £4,681.54, or a reduction of £182.23.

Early Years Block

3.2

The allocation for the Early Years Block is provisional and the EFA are continuing to issue a mid-year adjustment based on data from the early years and school censuses. Final funding allocations for 2017-18 for the core 15hrs entitlement will be based on 5/12ths of the January 2017 child numbers and 7/12ths of the January 2018 child numbers, to acknowledge any in-year growth. The funding for the additional 15hrs entitlement will be based on the January 2018 child numbers.

High Needs Block

3.3

The High Needs Block allocation includes £1.7M which will be held back by the EFA to fund commissioned places in Non-Maintained Special Schools. Therefore, we will receive £14.08M of High Needs funding for 2017-18.

Centrally Retained DSG budgets

3.4

Due to the lateness of the release of the funding allocations, details of the centrally retained DSG budgets are still being finalised and will be tabled at the meeting.

4.0 POLICY IMPLICATIONS

4.1 None

5.0 OTHER IMPLICATIONS

5.1 None

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: High Needs Block Funding Update for 2017-18

1.0 PURPOSE OF REPORT

1.1 To update School Forum on the recent government announcement in relation to High Needs Block funding for 2017-18.

2.0 RECOMMENDATION: That the report be noted.

3.0 SUPPORTING INFORMATION

Background

Following the Stage 1 consultation over the summer and the subsequent change of Secretary of State for Education, the proposal to implement a formula basis for the allocation of high needs block funding to local authorities was postponed until April 2018.

Information

It was announced in September that no local authority would see a reduction from their 2016-17 high needs block of the Dedicated Schools Grant, adjusted to reflect local authorities' most recent spending patterns.

£125 million has been transferred from the Department for Education's Post 16 budget to the high needs block baseline to fund the transfer of high needs places in FE colleges and post 16 charitable and commercial providers (CCPs). These institutions currently receive £6,000 per place from the Education Funding Agency as part of their allocation. From 2017-18 all of these places will be funded from the initial high needs block allocations to LAs. Deductions will then be made to fund these institutions directly from the EFA before the high needs block allocations are finalised in March 2017.

For Halton this equates to 74 places or £444,000.

Our baseline High Needs block was set at £15.12M and with the £0.44M added it comes to £15.76M.

We have then been top-sliced £1,740,000 for commissioned places in special academies, primary and secondary academies with resource bases and non-maintained special schools, as well as the £444,000 for FE colleges and CCPs. This is in comparison to the £1.4M top-slice on the 2016-17 high needs block.

A Stage 2 consultation on how the High Needs block funding is allocated to local authorities is expected.

4.0 POLICY IMPLICATIONS

4.1 None.

5.0 OTHER IMPLICATIONS

5.1 None.

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Operational Director – Education, Inclusion and Provision

SUBJECT: Special Educational Needs Review

1.0 PURPOSE OF REPORT

1.1 To provide an update to School Forum on the review of Special Educational Needs

2.0 RECOMMENDED: That

- 1) Schools Forum consider the response to proposal to alter the allocation of enhanced provision/top up funding; and**
- 2) Agree the funding methodology for allocation of enhanced provision/top up funding for April 2017.**

3.0 BACKGROUND

3.1 Since Schools Forum in October 2016 there have been 3 further meetings of the SEN Review Group. One of the first key areas of focus of the group has been the arrangements and funding of Enhanced Provision/top up funding.

3.2 Members were provided with a detailed list of the allocations made to each school and setting in 2015/2016. This showed the level of funding allocated and also the variation in allocation between the schools/settings.

3.3 Concern was expressed about the equity, transparency and sustainability of the current arrangements particularly given the variation in allocation between schools and settings and the total sum of money allocated. It was suggested that the current allocation methodology linked to additional staffing hours was too rigid and more sustainable support could be provided if schools and settings could use the funding to access training and support or/and purchase resources. It was also felt that there needed to be a greater emphasis on supporting and developing young people to be independent.

3.4 Members felt that the current panel arrangements should continue but that the balance of members should be changed with more representation from schools and settings. It was agreed that it would be important to have

representatives from Finance at the panel meetings to provide advice and support.

3.5 A revised model for allocation of Enhanced Provision/Top Up Funding has now been circulated which proposes the following changes:

- Resources are not intended to reimburse the actual costs incurred but can be used to commission training to build capacity, purchase of resources, teaching or non-teaching support.
- Additional resources must be used to support individual pupils and to close the attainment gap and should be used to match the individual pupil's requirements
- Funding will only be approved for **one year**. Renewal will only be considered under exceptional circumstances and where the school can demonstrate the progress made with the previous investment.
- The composition of the current panel/s will include increased representation from schools/settings and a Finance representative.

3.6 Responses to the consultation need to be submitted by Wednesday, 18th January 2017. A copy of the consultation sent to schools and academies has been attached at Appendix A a separate consultation has been sent out to early years providers.

3.7 As this is a significant change it was suggested that parents of pupils receiving additional support be invited to a consultation briefing on Thursday, 12th January 2017.

3.8 In addition, the consultation document has been included on the Local Offer.

3.9 The membership of the SEN Review Group includes the following:

- Ann McIntyre – Operational Director – Education, Inclusion & Provision - Chair
- Maraide Hurst – Warrington Road Nursery
- Sara Ainsworth - Brookfields
- Lesley Feakes - Simms Cross Primary
- Jeanette Vincent – The Grange School
- Nigel Hunt – The Bridge
- Jane Baker – Riverside College
- Alison Sutch – Halton NHS Clinical Commissioning Group

- Anita Parkinson – Divisional Manager Inclusion
- Paula Edwards – Inclusion
- Eileen O Brien – Inclusion
- Julie Sumner /Angela Swift - Impart
- Sam Murtagh – HBC Commissioner
- Simon Bell – HBC Commissioner
- Kay Whitelaw and Sheila Hudson - School Nursing Representatives

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: Early Years Funding for 2017-18

1.0 PURPOSE OF REPORT

1.1 To report to the School Forum the Early Years National Funding Formula for 2017-18.

2.0 RECOMMENDATION: That

- (1) The report be noted;
- (2) To agree the local Early Years Funding Formula as tabled at the meeting; and
- (3) To agree centrally retained budgets as tabled at the meeting

3.0 SUPPORTING INFORMATION

3.1 Background

Following the Early Years National Funding Formula (EYNFF) consultation in August, guidance was issued on 1st December on what factors and limitations are in place for 2017-18. This report sets out the options available and the outline of the consultation sent to all Halton providers in early January 2017.

Two options have been modelled for Halton's Early Years Funding Formula and this has been included within the consultation with providers (see attached). Recommendations will be tabled at Schools Forum although the final decision rests with the local authority.

3.2 Funding retained by LA's for central spend

The proposals in the DfE consultation last summer to require local authorities to pass the majority of funding for 3 and 4 year old provision to providers is now set within the guidance. For 2017-18 local authorities are required to pass through a minimum of 93% to providers with this figure rising to a minimum of 95% in 2018-19.

The guidance sets out a worked example to ensure all LA's are working to the same criteria.

Local authorities are allowed to hold a contingency fund for in-year demographic growth which would not be counted as central spend as it is eventually shared with providers. Such contingency fund is to be kept at a minimum level to maximise the hourly rate going to providers.

3.3 Funding to providers – the proposed National Funding Formula

The main, mandatory factor is the Universal Base Rate can be the same for ALL providers or we can have a transitional period for a maximum of two years where the Maintained Nursery Schools are funded at a different rate. The rate (or rates) will also be the base rate(s) for the additional 15 hours per week free entitlement for working parents from September 2017.

3.4 Supplementary factors

There are five supplementary factors, one mandatory and four discretionary.

The mandatory factor is the deprivation supplement. Nationally the current deprivation factor passes about 4% of funding to providers. Most local authorities including Halton use the Income Deprivation Affecting Children Index (IDACI) which is an area-based measure. We would look to continue to use IDACI for this factor.

The four discretionary supplements are: Rurality/Sparsity and Flexibility as set out within the EFA consultation plus new supplements of Quality and English as an Additional Language. Local authorities have the freedom to choose which, if any, discretionary supplements to use and the criteria and amounts for each supplement up to a maximum of 10% of the funding being passed to providers.

Rurality/Sparsity – to recognise the additional costs incurred by small providers in rural, sparsely populated areas, similar to the Sparsity factor within the Schools Block funding formula. As we are such a compact local authority no schools qualify under the criteria within the Schools Block funding formula and we feel it would be more beneficial to all providers to not use this factor.

Flexibility – a supplement to support providers in offering provision for parents. There is no clear criteria on how a provider would be measured as attaining ‘Flexibility’ within the consultation with local authorities given the discretion of what kind of flexibility they wish to promote. As we would need to develop and monitor the criteria for this factor which would place more work on providers, we again feel it would be more beneficial to all providers to not use this factor.

The proposal for an Efficiency supplement has been rejected following the EFA consultation.

The responses to the EFA consultation have resulted in the Quality supplement being re-instated to either support workforce qualifications or support system leadership (supporting high quality providers leading other providers in the local area).

A supplementary factor to support English as an Additional Language is also now permitted although no criteria has been set.

3.5 Transitional protection for Maintained Nursery Schools

Transitional protection is in place for two years for Maintained Nursery Schools to recognise the additional costs incurred because of their structure as a school. The additional funding is supposed to take account of the maintained nursery schools' current costs and provide much needed stability to this sector.

3.6 Special Educational Needs and Disabilities

Currently in Halton we identify High Needs Block DSG funding to support children in early year's settings with SEN. Local authorities are required under the National Funding Formula to set up an Early Years Inclusion Fund to resource support for the needs of 3 and 4 year old children with SEN. The Inclusion Fund would be a mix of Early Years block and High Needs block DSG funding. The current way in which children with SEN taking the free entitlement are supported fulfils this requirement.

The Disability Access Fund (DAF) is a new fund set up to aid access to early years places. This funding would be paid to providers for each child in receipt of Disability Living Allowance taking up a place in their setting. Providers will be able to decide how the funding should be deployed, it could be used the child's specific needs, to improve the setting for a cohort of children or increase the setting's capacity to take more disabled children.

Four year old children in Reception classes are not eligible for Disability Access Funding.

The Disability Access Fund would build on the Early Years Pupil Premium model and would be passed to providers via the LA as an annual lump sum of £615.00 rather than an increase on the hourly rate.

3.7 Two year old funding

The way in which funding is allocated to local authorities for eligible 2 year old provision is already on a formulaic basis and the DfE do not wish to change this. However the DfE have confirmed that the funding rate to LA's will be raised from £5.09 to £5.39 per hour. The hourly rate to providers will be increased once the grant allocation and level of provision is known.

3.8 Local consultation

We are required to consult with providers on our local formula. Responses to the consultation are required by Wednesday, 18th January 2017 to allow time and the outcome of the consultation will be tabled at School Forum on 23rd January 2017.

4.0 **POLICY IMPLICATIONS**

4.1 None

5.0 **OTHER IMPLICATIONS**

5.1 None

Early Years National Funding Formula 2017-18 - Halton

The EYNFF guidance for 2017-18 was issued on 1st December 2016 following the consultation over the summer. Consideration was given to the options available to us and modelling, using the January 2016 headcount data has been completed. We have decided to focus on two options only for 2017-18 as below. We support the proposal of having the same base rate for all providers, however we also recognise that the additional costs of the required staffing structure in Maintained Nursery Schools cannot be changed overnight. We need to ensure the financial sustainability of all our Early Years providers and therefore we have considered a transitional approach to the EYNFF.

Funding Factors:

Basic per pupil – this is a mandatory factor with a minimum of 90% of the provider funding to be passed through this factor.

Deprivation – this is a mandatory factor although Local Authorities can choose the basis of funding (IDACI or FSM) and can choose the amount to fund through this factor. We have used IDACI (based on children's postcodes) and recommend that this basis is continued.

Rurality/Sparsity – an optional factor targeted at providers who support rural areas less likely to benefit from economies of scale. As we are such a compact authority we are not recommending the use of this factor.

Flexibility – an optional supplement to support the offer of flexible provision to parents. We would need to develop a criterion upon which this funding would be based, then collect and monitor sufficient data from providers to devolve this funding. We are recommending this factor is not used in Halton.

Quality – an optional supplement to support workforce qualifications or system leadership. We consider this would be useful in recognising the employment of qualified Early Years teachers and are recommending the use of this factor.

English as an Additional Language – an optional factor to support providers with children for whom English is not their first language. Although we would be interested in using this factor, reflecting the provision in primary and secondary schools, data has not been collected in sufficient quantity to enable modelling to be done. Therefore, we are unable to identify what level of funding would be needed. We are recommending that for 2017-18 this factor is not used but that modelling is done to identify possible levels of funding for 2018-19 onwards.

Option A

This option uses the two mandatory factors (Basic per pupil and Deprivation) plus the Quality factor where all providers receive the same base rate.

Option B

This option uses the same factors as Option A but, as allowed in the guidance, has a different base rate for Maintained Nursery Schools for 2017-18 only.

Due to commercial sensitivity, we have anonymised the names of the providers on the modelling; however, the data is taken from the January 2016 headcount.

Consultation questions:

1. Do you agree with our recommendation to continue to use the Income Deprivation Affecting Children Index, based on a child's home postcode, for the Deprivation factor?

Yes / No

If No, what criteria would you suggest? _____

2. Do you agree with our recommendation not to use the Rurality/Sparsity factor in Halton's funding formula?

Yes / No

If No, what criteria would you suggest? _____

3. Do you agree with our recommendation not to use the Flexibility factor in Halton's funding formula?

Yes / No

If No, what criteria would you suggest? _____

4. Do you agree with our recommendation to use the Quality factor to support the employment of qualified Early Years teachers?

Yes / No

If No, what criteria would you suggest? _____

5. Do you agree with our recommendation to not use the English as an Additional Language factor for 2017-18 due to the lack of data?

Yes / No

If No, what would you suggest? _____

6. Do you agree with our recommendation that for 2017-18 only we use a different base rate for Maintained Nursery Schools to allow time for options to be investigated and implemented to reduce overall costs.

Yes / No

If No, what would you suggest? _____

SEN Inclusion Fund

Another element of funding (but not within the hourly rates paid to providers) is funding for disabled children and children with Special Educational Needs. In Halton we already have both a budget and a process in place for passing additional funding to settings on a case by case basis to address the needs of individual children with SEN. The EYNFF guidance now makes this a requirement of all local authorities in England from April 2017. This is in addition to the new Disability Access Fund which will be paid as a one-off annual grant from 2017-18.

Applications for funding to support an individual child are made to a panel of SEN Officers and Head Teacher representatives for the maintained sector and Early Years Officers and PVI representatives for Early Years settings. Although we have the option to retain some SEN Inclusion Fund to support specialist SEN services, we are not looking to do this for 2017-18. We are recommending that the current processes in place to provide funding to disabled children and children with SEN are continued.

7. Do you agree with our recommendation to continue to use the current processes for top-up funding payments to providers, to be made on a case by case basis?

Yes / No

If No, what would you suggest? _____

The deadline for responses is 5.00pm on Wednesday 18th January and will be reported to Schools Forum on Monday 23rd January. Any responses received after this deadline will not be taken into account.

Actual funding factor amounts and indicative budgets will not be available until mid-February at the earliest following receipt of the January 2017 census data.

Calculation of Early Years 3&4 year old Universal Funding - using 2016-17 funding data

PVI Day Nurseries	Actual 2016-17								OPTION A						OPTION B					
	Hrs per week	Base Rate	Deprivation	LA Rates	Lump sum	Total	Hrly rate	Base Rate	Deprivation	MNS protection	Workforce qualification	Total	Hrly rate	Base Rate	Deprivation	MNS protection	Workforce qualification	Total	Hrly rate	
	741	£ 95,456	£ 5,344	£ -	£ -	£ 100,800	£ 3.58	£ 132,624	£ 9,898	£ -	£ -	£ 142,522	£ 5.06	£ 132,343	£ 9,898	£ -	£ -	£ 142,240	£ 5.05	
Provider 1	765	£ 98,547	£ 4,601	£ 7,873	£ -	£ 111,021	£ 3.82	£ 136,920	£ 9,140	£ -	£ -	£ 146,060	£ 5.02	£ 136,629	£ 9,140	£ -	£ -	£ 145,769	£ 5.01	
Provider 2	585	£ 75,360	£ 1,429	£ 2,890	£ -	£ 79,679	£ 3.58	£ 104,703	£ 2,794	£ -	£ -	£ 107,497	£ 4.84	£ 104,481	£ 2,794	£ -	£ -	£ 107,275	£ 4.83	
Provider 3	603	£ 77,678	£ 2,058	£ 3,729	£ -	£ 83,465	£ 3.64	£ 107,925	£ 3,789	£ -	£ -	£ 111,714	£ 4.88	£ 107,696	£ 3,789	£ -	£ -	£ 111,484	£ 4.87	
Provider 4	330	£ 42,511	£ 1,943	£ 2,519	£ -	£ 46,973	£ 3.75	£ 59,063	£ 3,931	£ -	£ -	£ 62,994	£ 5.02	£ 58,938	£ 3,931	£ -	£ -	£ 62,869	£ 5.01	
Provider 5	615	£ 79,224	£ 2,772	£ 3,913	£ -	£ 85,910	£ 3.68	£ 110,073	£ 5,351	£ -	£ -	£ 124,265	£ 5.32	£ 109,839	£ 5,351	£ -	£ -	£ 119,996	£ 5.13	
Provider 6	375	£ 48,308	£ 1,115	£ 2,551	£ -	£ 51,973	£ 3.65	£ 67,118	£ 2,178	£ -	£ -	£ 78,137	£ 5.48	£ 66,975	£ 2,178	£ -	£ -	£ 73,959	£ 5.19	
Provider 7	495	£ 63,766	£ 715	£ 3,821	£ -	£ 68,302	£ 3.63	£ 88,595	£ 1,373	£ -	£ -	£ 89,968	£ 4.78	£ 88,407	£ 1,373	£ -	£ -	£ 89,780	£ 4.77	
Provider 8	15	£ 1,932	£ -	£ -	£ -	£ 1,932	£ 3.39	£ 2,685	£ -	£ -	£ -	£ 2,685	£ 4.71	£ 2,679	£ -	£ -	£ -	£ 2,679	£ 4.70	
Provider 9	915	£ 117,870	£ 4,630	£ -	£ -	£ 122,500	£ 3.52	£ 163,767	£ 8,666	£ -	£ -	£ 172,433	£ 4.96	£ 163,419	£ 8,666	£ -	£ -	£ 172,085	£ 4.95	
Provider 10	690	£ 88,886	£ 4,144	£ 1,725	£ -	£ 94,755	£ 3.61	£ 123,496	£ 8,146	£ -	£ -	£ 131,642	£ 5.02	£ 123,234	£ 8,146	£ -	£ -	£ 131,380	£ 5.01	
Provider 11	381	£ 49,080	£ 429	£ 3,165	£ -	£ 52,674	£ 3.64	£ 68,191	£ 805	£ -	£ -	£ 68,996	£ 4.77	£ 68,047	£ 805	£ -	£ -	£ 68,852	£ 4.76	
Provider 12	761	£ 98,032	£ 2,744	£ -	£ -	£ 100,776	£ 3.48	£ 136,204	£ 5,636	£ -	£ -	£ 141,839	£ 4.90	£ 135,915	£ 5,636	£ -	£ -	£ 141,550	£ 4.89	
Provider 13	285	£ 36,714	£ 1,972	£ -	£ -	£ 38,686	£ 3.57	£ 51,009	£ 3,789	£ -	£ -	£ 54,798	£ 5.06	£ 50,901	£ 3,789	£ -	£ -	£ 54,690	£ 5.05	
Provider 14	225	£ 28,985	£ 1,000	£ 1,835	£ -	£ 31,820	£ 3.72	£ 40,271	£ 1,894	£ -	£ -	£ 42,165	£ 4.93	£ 40,185	£ 1,894	£ -	£ -	£ 42,079	£ 4.92	
Provider 15	730	£ 94,039	£ 2,258	£ 5,257	£ -	£ 101,554	£ 3.66	£ 130,655	£ 4,262	£ -	£ -	£ 134,918	£ 4.86	£ 130,378	£ 4,262	£ -	£ -	£ 134,640	£ 4.85	
Provider 16	471	£ 60,674	£ 572	£ 2,249	£ -	£ 63,495	£ 3.55	£ 84,300	£ 1,137	£ -	£ -	£ 94,277	£ 5.27	£ 84,121	£ 1,137	£ -	£ -	£ 90,062	£ 5.03	
Provider 17	390	£ 50,240	£ 943	£ 1,620	£ -	£ 52,803	£ 3.56	£ 69,802	£ 1,942	£ -	£ -	£ 71,744	£ 4.84	£ 69,654	£ 1,942	£ -	£ -	£ 71,596	£ 4.83	
Provider 18	387	£ 49,853	£ 629	£ 671	£ -	£ 51,153	£ 3.48	£ 69,265	£ 1,089	£ -	£ -	£ 70,354	£ 4.78	£ 69,118	£ 1,089	£ -	£ -	£ 70,207	£ 4.77	
Provider 19	450	£ 57,969	£ 2,486	£ 4,030	£ -	£ 64,485	£ 3.77	£ 80,541	£ 5,115	£ -	£ -	£ 85,656	£ 5.01	£ 80,370	£ 5,115	£ -	£ -	£ 85,485	£ 5.00	
Provider 20	225	£ 28,985	£ 372	£ -	£ -	£ 29,356	£ 3.43	£ 40,271	£ 616	£ -	£ -	£ 40,886	£ 4.78	£ 40,185	£ 616	£ -	£ -	£ 40,801	£ 4.77	
Provider 21	615	£ 79,224	£ 1,057	£ 2,789	£ -	£ 83,071	£ 3.55	£ 110,073	£ 1,989	£ -	£ -	£ 112,062	£ 4.80	£ 109,839	£ 1,989	£ -	£ -	£ 111,828	£ 4.79	
Provider 22	360	£ 46,375	£ 3,430	£ -	£ -	£ 49,805	£ 3.64	£ 64,433	£ 6,204	£ -	£ -	£ 70,637	£ 5.16	£ 64,296	£ 6,204	£ -	£ -	£ 70,500	£ 5.15	
Total Day Nurseries	11,409	£ 1,469,707	£ 46,643	£ 50,637	£ -	£ 1,566,987	£ -	£ 2,041,983	£ 89,743	£ -	£ -	£ 26,522	£ 2,158,248	£ -	£ 2,037,647	£ 89,743	£ -	£ 14,415	£ 2,141,806	

Pre-School	Actual 2016-17								OPTION A						OPTION B						
	Hrs per week	Base Rate	Deprivation	LA Rates	Lump sum	Total	Hrly rate	Base Rate	Deprivation	MNS protection	Workforce qualification	Total	Hrly rate	Base Rate	Deprivation	MNS protection	Workforce qualification	Total	Hrly rate		
Provider 24	15	£ 1,932	£ -	£ -	£ -	£ 1,932	£ 3.39	£ 2,685	£ -	£ -	£ -	£ 2,685	£ 4.71	£ 2,679	£ -	£ -	£ -	£ 2,679	£ 4.70		
Provider 25	210	£ 27,052	£ 1,143	£ -	£ -	£ 28,195	£ 3.53	£ 37,586	£ 2,321	£ -	£ -	£ 39,906	£ 5.00	£ 37,506	£ 2,321	£ -	£ -	£ 39,827	£ 4.99		
Provider 26	165	£ 21,255	£ 1,200	£ -	£ -	£ 22,456	£ 3.58	£ 29,532	£ 2,226	£ -	£ -	£ 4,420	£ 36,178	£ 5.77	£ 29,469	£ 2,226	£ -	£ -	£ 2,403	£ 34,097	£ 5.44
Provider 27	303	£ 39,032	£ 857	£ -	£ -	£ 39,890	£ 3.46	£ 54,231	£ 1,658	£ -	£ -	£ 55,888	£ 4.85	£ 54,116	£ 1,658	£ -	£ -	£ 55,773	£ 4.84		
Provider 28	15	£ 1,932	£ -	£ -	£ -	£ 1															

Calculation of Early Years 3&4 year old Universal Funding - using 2016-17 funding data

PVI	Actual 2016-17							OPTION A							OPTION B								
	Hrs per week		Base Rate		Deprivation		LA Rates		Lump sum		Total		Hrly rate		MNS		Workforce		MNS		Workforce		
	Provider	52	330	£ 42,511	£ 1,115	£ -	£ -	£ -	£ 43,625	£ 3.48	£ 59,063	£ 2,273	£ -	£ -	£ 61,337	£ 4.89	£ 58,938	£ 2,273	£ -	£ -	£ 61,211	£ 4.88	
Provider 52		330	£ 42,511	£ 1,115	£ -	£ -	£ -	£ -	£ 43,625	£ 3.48	£ 59,063	£ 2,273	£ -	£ -	£ 61,337	£ 4.89	£ 58,938	£ 2,273	£ -	£ -	£ 61,211	£ 4.88	
Provider 53		367.5	£ 47,341	£ 1,858	£ -	£ -	£ -	£ -	£ 49,199	£ 3.52	£ 65,775	£ 3,836	£ -	£ -	£ 69,611	£ 4.98	£ 65,636	£ 3,836	£ -	£ -	£ 69,471	£ 4.97	
Provider 54		75	£ 9,662	£ 629	£ 397	£ -	£ -	£ -	£ 10,687	£ 3.75	£ 13,424	£ 1,184	£ -	£ -	£ 14,607	£ 5.13	£ 13,395	£ 1,184	£ -	£ -	£ 14,579	£ 5.12	
Provider 55		480	£ 61,834	£ 3,029	£ -	£ -	£ -	£ -	£ 64,863	£ 3.56	£ 85,910	£ 6,157	£ -	£ -	£ 92,067	£ 5.05	£ 85,728	£ 6,157	£ -	£ -	£ 91,885	£ 5.04	
Provider 56		615	£ 79,224	£ 2,772	£ -	£ -	£ -	£ -	£ 81,997	£ 3.51	£ 110,073	£ 5,778	£ -	£ -	£ 124,691	£ 5.34	£ 109,839	£ 5,778	£ -	£ -	£ 120,422	£ 5.15	
Provider 57		516	£ 66,471	£ 1,200	£ -	£ -	£ -	£ -	£ 67,671	£ 3.45	£ 92,354	£ 2,273	£ -	£ -	£ 94,627	£ 4.83	£ 92,158	£ 2,273	£ -	£ -	£ 94,431	£ 4.82	
Provider 58		204	£ 26,279	£ 829	£ -	£ -	£ -	£ -	£ 27,108	£ 3.50	£ 36,512	£ 1,421	£ -	£ -	£ 37,933	£ 4.89	£ 36,434	£ 1,421	£ -	£ -	£ 37,855	£ 4.88	
Provider 59		210	£ 27,052	£ 1,372	£ -	£ -	£ -	£ -	£ 28,424	£ 3.56	£ 37,586	£ 2,841	£ -	£ -	£ 44,848	£ 5.62	£ 37,506	£ 2,841	£ -	£ -	£ 42,750	£ 5.36	
Provider 60		357	£ 45,989	£ 1,057	£ -	£ -	£ -	£ -	£ 47,046	£ 3.47	£ 63,896	£ 1,894	£ -	£ -	£ 65,790	£ 4.85	£ 63,760	£ 1,894	£ -	£ -	£ 65,655	£ 4.84	
Provider 61		468	£ 60,288	£ 772	£ -	£ -	£ -	£ -	£ 61,059	£ 3.43	£ 83,763	£ 1,373	£ -	£ -	£ 85,136	£ 4.79	£ 83,585	£ 1,373	£ -	£ -	£ 84,958	£ 4.78	
Total Pre-School		10,127	£ 1,304,560	£ 47,843	£ 744	£ -	£ 1,353,147				£ 1,812,530	£ 93,342	£ -	£ 26,522	£ 1,905,873		£ 1,808,682	£ 93,342	£ -	£ 14,415	£ 1,902,025		
Nursery Units																							
Provider 62		480	£ 53,098	£ 9,761	£ -	£ -	£ -	£ -	£ 841	£ 3.49	£ 85,910	£ 6,725	£ -	£ -	£ 6,188	£ 98,824	£ 5.42	£ 85,728	£ 6,725	£ -	£ 3,364	£ 95,816	£ 5.25
Provider 63		210	£ 23,230	£ 6,316	£ -	£ -	£ -	£ -	£ 841	£ 3.81	£ 37,586	£ 4,404	£ -	£ -	£ 8,841	£ 50,831	£ 6.37	£ 37,506	£ 4,404	£ -	£ 4,805	£ 46,715	£ 5.85
Provider 64		105	£ 11,615	£ 3,014	£ -	£ -	£ -	£ -	£ 841	£ 3.88	£ 18,793	£ 2,178	£ -	£ -	£ 4,420	£ 25,392	£ 6.36	£ 18,753	£ 2,178	£ -	£ 2,403	£ 23,334	£ 5.85
Provider 65		270	£ 29,867	£ 6,962	£ -	£ -	£ -	£ -	£ 841	£ 3.67	£ 48,325	£ 5,399	£ -	£ -	£ 8,841	£ 62,564	£ 6.10	£ 48,222	£ 5,399	£ -	£ 4,805	£ 58,426	£ 5.69
Provider 66		330	£ 36,505	£ 6,100	£ -	£ -	£ -	£ -	£ 841	£ 3.46	£ 59,063	£ 5,020	£ -	£ -	£ 4,420	£ 68,504	£ 5.46	£ 58,938	£ 5,020	£ -	£ 2,403	£ 66,360	£ 5.29
Provider 67		435	£ 48,120	£ 9,187	£ -	£ -	£ -	£ -	£ 841	£ 3.52	£ 77,856	£ 6,962	£ -	£ -	£ 8,841	£ 93,659	£ 5.67	£ 77,691	£ 6,962	£ -	£ 4,805	£ 89,458	£ 5.41
Provider 68		330	£ 36,505	£ 8,612	£ -	£ -	£ -	£ -	£ 841	£ 3.66	£ 59,063	£ 6,157	£ -	£ -	£ 8,841	£ 74,061	£ 5.91	£ 58,938	£ 6,157	£ -	£ 4,805	£ 69,900	£ 5.57
Provider 69		555	£ 61,394	£ 10,550	£ -	£ -	£ -	£ -	£ 841	£ 3.45	£ 99,334	£ 8,619	£ -	£ -	£ 8,841	£ 116,794	£ 5.54	£ 99,123	£ 8,619	£ -	£ 4,805	£ 112,547	£ 5.34
Provider 70		1020	£ 175,068	£ 18,876	£ -	£ -	£ -	£ -	£ 8,617	£ 5.23	£ 182,560	£ 14,492	£ -	£ -	£ 17,681	£ 214,733	£ 5.54	£ 182,172	£ 14,492	£ -	£ 9,610	£ 206,274	£ 5.32
Total Nursery Units		3,735	£ 475,401	£ 79,378	£ -	£ 15,345	£ 570,123				£ 668,490	£ 59,955	£ -	£ 76,914	£ 805,359		£ 667,071	£ 59,955	£ -	£ 41,804	£ 768,830		
Nursery Schools																							
Provider 71		921	£ 188,989	£ 25,573	£ 17,010	£ 41,251	£ 272,823	£ 7.80			£ 164,841	£ 12,266	£ 12,412	£ 31,658	£ 221,177	£ 6.32	£ 181,990	£ 12,266	£ 12,412	£ 17,207	£ 223,874	£ 6.40	
Provider 72		1950	£ 398,909	£ 10,423	£ 2,958	£ 41,251	£ 453,541	£ 6.12			£ 349,011	£ 9,282	£ 26,279	£ 44,066	£ 428,639	£ 5.78	£ 385,320	£ 9,282	£ 26,279	£ 44,066	£ 464,948	£ 6.27	
Provider 73		1734	£ 355,817	£ 19,998	£ 17,010	£ 41,251	£ 434,075	£ 6.59			£ 310,351	£ 11,366	£ 23,369	£ 44,066	£ 389,152	£ 5.91	£ 342,638	£ 11,366	£ 23,369	£ 44,066	£ 421,439	£ 6.40	
Total Nursery Schools		4,605	£ 943,715	£ 55,994	£ 36																		

REPORT TO: Schools Forum

DATE: 23 January 2017

REPORTING OFFICER: Senior Finance Officer, Financial Management Division

SUBJECT: The National Funding Formula

1.0 PURPOSE OF REPORT

- 1.1 To report to the School Forum on the indicative Schools Block budgets for 2018-19 and 2019-20 and the National Funding Formula.

2.0 RECOMMENDATION: That the report be noted.

3.0 SUPPORTING INFORMATION

- 3.1 The Stage 2 consultation was announced by the Department for Education on 14th December 2016 along with indicative schools block budgets.

The consultation closes on 22nd March 2017 and work will start on this once Schools Block budgets are completed. A full report will be presented to Schools Forum in March.

Appendix A shows the indicative Schools block budgets using the NFF against the October 15 census data (as used to set the 2016-17 schools block budgets). We are showing the actual 2016-17 schools block budget, the 2018-19 indicative NFF budget for the transitional year and the 2019-20 indicative NFF budget without the transitional protection.

- 3.2 A decision has yet to be made on whether a consultation event will be held or whether the document will be circulated to schools and comments given to a sub-group of Schools Forum to formulate a response.

4.0 POLICY IMPLICATIONS

- 4.1 None.

5.0 OTHER IMPLICATIONS

- 5.1 None.

Impact of the proposed National Funding Formula on Halton schools and academies

The impact calculations for 18/19 and 19/20 are based on the pupil and school characteristics from 16/17

School Name	Transitional Year with protection			Full National Funding Formula	
	<u>16/17 Baseline Funding</u>	<u>18/19 NFF Allocation</u>	<u>Percentage</u>	<u>19/20 NFF Allocation</u>	<u>Percentage</u>
			<u>Increase 18/19</u>		<u>Increase 19/20</u>
All Saints Upton	£ 806,000.00	£ 827,000.00	2.60%	£ 849,000.00	5.40%
Astmoor	£ 707,000.00	£ 725,000.00	2.50%	£ 769,000.00	8.80%
Beechwood	£ 586,000.00	£ 600,000.00	2.40%	£ 627,000.00	7%
Bridgewater Park	£ 549,000.00	£ 552,000.00	0.70%	£ 552,000.00	0.70%
Brookvale	£ 1,127,000.00	£ 1,158,000.00	2.70%	£ 1,229,000.00	9%
Castle View	£ 656,000.00	£ 672,000.00	2.40%	£ 688,000.00	5%
Daresbury	£ 520,000.00	£ 514,000.00	-1.20%	£ 514,000.00	-1.20%
Ditton Primary	£ 1,511,000.00	£ 1,552,000.00	2.70%	£ 1,649,000.00	9.10%
Fairfield Primary	£ 1,955,000.00	£ 2,009,000.00	2.80%	£ 2,097,000.00	7.30%
Farnworth Primary	£ 1,266,000.00	£ 1,300,000.00	2.70%	£ 1,354,000.00	7%
Gorsewood Primary	£ 850,000.00	£ 872,000.00	2.60%	£ 923,000.00	8.50%
Hale	£ 600,000.00	£ 614,000.00	2.40%	£ 622,000.00	3.80%
Halebank	£ 450,000.00	£ 445,000.00	-1.10%	£ 440,000.00	-2.30%
Hallwood Park	£ 702,000.00	£ 720,000.00	2.50%	£ 770,000.00	9.70%
Halton Lodge	£ 901,000.00	£ 924,000.00	2.50%	£ 984,000.00	9.20%
Halton St Mary's	£ 904,000.00	£ 928,000.00	2.60%	£ 968,000.00	7.10%
Hill View Primary	£ 730,000.00	£ 748,000.00	2.50%	£ 769,000.00	5.30%
Lunts Heath Primary	£ 1,117,000.00	£ 1,147,000.00	2.70%	£ 1,189,000.00	6.40%
Moore Primary	£ 715,000.00	£ 733,000.00	2.50%	£ 744,000.00	4.10%
Moorfield Primary	£ 1,006,000.00	£ 1,032,000.00	2.60%	£ 1,091,000.00	8.50%
Murdishaw West	£ 756,000.00	£ 775,000.00	2.50%	£ 811,000.00	7.30%
Oakfield	£ 1,196,000.00	£ 1,228,000.00	2.70%	£ 1,285,000.00	7.50%
OLPS	£ 759,000.00	£ 779,000.00	2.60%	£ 812,000.00	6.90%
Ormiston Bolingbroke Academy	£ 4,447,000.00	£ 4,382,000.00	-1.50%	£ 4,317,000.00	-2.90%
Ormiston Chadwick Academy	£ 4,043,000.00	£ 3,984,000.00	-1.50%	£ 3,925,000.00	-2.90%
OLMS	£ 760,000.00	£ 780,000.00	2.60%	£ 815,000.00	7.20%
Palacefields Primary	£ 972,000.00	£ 987,000.00	1.50%	£ 987,000.00	1.50%
Pewithall Primary	£ 738,000.00	£ 757,000.00	2.50%	£ 798,000.00	8.20%
Runcorn All Saints Primary	£ 489,000.00	£ 500,000.00	2.30%	£ 517,000.00	5.80%
Saints Peter and Paul College	£ 6,826,000.00	£ 6,726,000.00	-1.50%	£ 6,626,000.00	-2.90%
Sandymoor	£ 2,054,000.00	£ 2,025,000.00	-1.40%	£ 1,996,000.00	-2.80%
Simms Cross Primary	£ 991,000.00	£ 1,017,000.00	2.60%	£ 1,085,000.00	9.50%
Spinney Avenue Primary	£ 786,000.00	£ 806,000.00	2.60%	£ 839,000.00	6.70%
St Augustine's Primary	£ 499,000.00	£ 510,000.00	2.30%	£ 512,000.00	2.60%
St Basil's Catholic Primary	£ 1,358,000.00	£ 1,395,000.00	2.70%	£ 1,490,000.00	9.80%
St Bede's Catholic Infant	£ 892,000.00	£ 916,000.00	2.60%	£ 928,000.00	4%
St Berteline's Primary	£ 1,013,000.00	£ 1,040,000.00	2.70%	£ 1,084,000.00	7%

St Chad's	£	5,095,000.00	£	5,020,000.00	-1.50%	£	4,946,000.00	-2.90%
St Clement's Primary	£	722,000.00	£	741,000.00	2.50%	£	768,000.00	6.30%
St Gerard's	£	872,000.00	£	894,000.00	2.60%	£	950,000.00	9%
St Martin's Primary	£	845,000.00	£	867,000.00	2.60%	£	905,000.00	7.20%
St Bede's Catholic Junior	£	1,005,000.00	£	1,031,000.00	2.70%	£	1,114,000.00	10.80%
St Edward's Catholic Primary	£	528,000.00	£	541,000.00	2.40%	£	553,000.00	4.60%
St John Fisher Catholic Primary	£	844,000.00	£	865,000.00	2.60%	£	904,000.00	7.20%
St Michael's Catholic Primary	£	942,000.00	£	967,000.00	2.60%	£	1,017,000.00	7.90%
The Brow CP School	£	834,000.00	£	855,000.00	2.50%	£	906,000.00	8.60%
The Grange All Through School	£	5,350,000.00	£	5,364,000.00	0.30%	£	5,364,000.00	0.30%
The Heath Specialist Technology College	£	5,263,000.00	£	5,186,000.00	-1.50%	£	5,109,000.00	-2.90%
The Holy Spirit Catholic Primary	£	511,000.00	£	523,000.00	2.30%	£	543,000.00	6.20%
Victoria Road Primary	£	935,000.00	£	960,000.00	2.60%	£	1,008,000.00	7.80%
Wade Deacon High School	£	7,982,000.00	£	7,864,000.00	-1.50%	£	7,746,000.00	-3%
Westfield Primary School	£	682,000.00	£	699,000.00	2.50%	£	728,000.00	6.60%
Weston Point Community Primary	£	680,000.00	£	697,000.00	2.50%	£	740,000.00	8.70%
Weston Primary	£	711,000.00	£	702,000.00	-1.30%	£	693,000.00	-2.50%
Widnes Academy	£	633,000.00	£	647,000.00	2.30%	£	647,000.00	2.30%
Windmill Hill Primary	£	645,000.00	£	661,000.00	2.40%	£	683,000.00	5.90%
Woodside Primary	£	837,000.00	£	858,000.00	2.60%	£	915,000.00	9.40%